National Bank for Agriculture and Rural Development

Grant Management Portal

**Unified Digital Platform
for all grant-based projects of NABARD**

Expression of Interest

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SECTION I – INTRODUCTION

1. NABARD is a development financial institution established by the Government of India in 1982. It provides financial and promotional support to agriculture and rural development with a network of 31 Regional Offices and 414 District Development Managers (DDMs).

2. NABARD invites Expression of Interest (EOI) from start-ups fully engaged in development and implementation of Development projects through grant-based support and ESG compliance framework Management Portals. NABARD is looking for a Single Digital Solution, providing end to end solutions at levels. This portal will play a crucial role in streamlining and enhancing the efficiency of our grant management processes, enabling better transparency, accountability, and accessibility for stakeholders involved in the grant disbursement and management lifecycle. **The unified, single window portal will utilize digital tools to establish systems of applying for grants, scrutiny, approvals, reporting (both physical and financial), release of funds, M&E and finally impact evaluation.** The portal will particularly emphasize upon reporting the results and aligning impact with development goals of international and national level like Sustainable Development Goals (SDGs) and Environment, Social, & Governance (ESG).

3. The Original Tender Reference number and date of this is EOI is: NB.DDMABI.HO/008/ 2024-25 dated 8 April 2024.

4. The process for developing and implementing Grant Management portal will be a single EOI stage process as follows:

Expression of Interest (EOI) for Shortlisting

- **Objective:** The EOI objective is to identify and select a start-up (vendor) aligning well with its goals and purposes of grant management system and offering end-to-end solutions. The primary objective of the GMP (Grant Management Portal) is to digitize and automate the entire process of grant management; this comprises application submission, fund disbursement and monitoring, particularly outcome and impact.
- **Evaluation Criteria**: The main evaluation criteria will be assessing the past experience in development and management of such portals. The company shall be evaluated based on the proposed functional & technical solutions for GMP where NABARD will select the company on the minimum eligibility criteria laid out in Section IV and Section V.
- **Disclaimer for Bidders**: Bidders who have developed and implemented Grant Management Portals and provided end to end solutions and contributed to the development sector for more than 5 years only are invited to participate and submit their EOI.

5. Expression of Interest (EOI) is to be submitted online on CPP Portal (https://eprocure.gov.in) by the Bidders who:
   a. Meet the eligibility criteria as set out in "SECTION IV".
   b. Agree to abide by all the other terms and conditions in this EOI document.

6. **Selection:** NABARD shall form a committee to shortlist the vendors. The commercials shall be finalized based on mutual discussion.

SECTION II – SCHEDULE OF EVENTS

SN	Event	Date
1.	Publishing of EOI on NABARD website and CPP Portal	08/04/2024
2.	Last date and time for receipt of queries (through emails only) for clarification from applicants	12/04/2024 (3 PM)
3.	Date and time of Pre-bid meeting	15/04/2024 (3 PM)
4.	Last Date and Time for EOI Submission along with all supporting documents.	24/04/2024 (5 PM)
5.	Opening of EOIs	25/04/2024 (5 PM)

SECTION III- SCOPE OF WORK

1. Purpose/ Objectives:

- NABARD extends support in the form of grant and grant cum loan based development projects to a host of stakeholders (NGOs, *Trusts, Societies, Section 8 companies, Cooperative societies, research institutions among others*) in the development sector through its development departments.

- There are 4 major grant giving departments and 4 others which provide marginal support on selective basis in NABARD. These are:

  Major
  - **FSDD** (Farm Sector Development Department),
  - **OFDD** (Off-Farm Sector Development Department),
  - **MCID** (Micro Credit and Innovation Department),
  - **D-CAS** (Department of Climate Action & Sustainability),

  Marginal
  - **DEAR** (Department of Economic Analysis & Research),
  - **DFIBT** (Department of Financial Inclusion, Banking and Technology),
  - **IDD** (Institution Development Department), and,
  - **SPD** (State Projects Department),

- NABARD has decided to develop a single, unified platform for processing all its grant-based projects handled by the development departments.

- This will be a comprehensive digital solution with full end to end automation. It will streamline the entire functioning related to development projects within NABARD. This comprises collection/ receipt of development projects at district level, administration, distribution, and monitoring of all the grant-based schemes.

- The portal will be aligned with NABARD performance indicators, Sustainable Development Goals (SDG), Environment, Social, and Governance (ESG), and Social Stock Exchange (SSE) compliance framework showing the overall performance of NABARD related to these development goals. It will be able to map the geographical outcome and the block/ district/ state wise impact.

- The portal will provide role-based access to stakeholders including applicants, administrators, evaluators, and reviewers. It will ensure data security, confidentiality, and compliance with relevant regulations.

- It will be within the Enterprise Architecture of NABARD and integrate with existing NABARD systems and databases to facilitate seamless exchange of data. The portal will generate reports and analytics to track grant utilization, impact assessment, and performance evaluation.

In brief, NABARD is seeking a Start-up Vendor **for creating such a grant project management system which is envisioned to function as a single window for handling every aspect of each of NABARD's development projects ranging from receipt of projects to their scrutiny, sanction, disbursements, monitoring and eventual closure report**.

2. End to End Solution requirements of IT Grant Management Portal for NABARD:

Presented below is a suggested flow for the automation of the grant lifecycle, from project concept to impact reporting. The Input forms are prepared to create uniformity across all departments. We welcome suggestions from the start-ups/vendors. The output will be designed by vendor and guided by DDMABI along with Program Departments.

i. Project Concept Note (PCN) Submission based on Schemes:	• Online portal for submitting initial project concept notes. • Automated form with predefined fields for key project information to reduce drudgery (***for instance PIN code-based auto filling of fields like Block, Districts and States.***) • Attachments upload functionality for supporting documents. • Auto generated messages and mails • Upload provisions for additional documents.
ii. Due Diligence Process	• Automated due diligence checklist to assess project feasibility. • Scope for checking and verification at NABARD's DDM (District Development Manager) and Regional Office (RO) levels. • Workflow to assign due diligence tasks to relevant stakeholders. • Document repository for due diligence reports and feedback.
iii. Proposal Application	• Detailed online application form with project details along with uploads. • Integration for budget analysis. • Automatic validation checks to ensure completeness.
iv. Rating of Partners/ Performance/Outcome & impact	• Automated partner performance rating system for selection (organization due diligence and project assessment. • Project performance rating based on Targets vs Achievement. • Outcome and Impact Assessment Rating • Automated assessment tools for outcome and impact measurement. • Integration with predefined metrics and indicators of projects outcome and overall NABARD performance Indicators

	• Scope for reference checks
v. Sanction Process	• Automated workflow for internal review and approval. • Auto generated memorandum of sanction for approval by the committee. (Edit and add on by DDM/RO) if additional information required. • Integration with decision-makers for electronic approval. • Automated generation of sanction letters.
vi. Memorandum of Understanding (MoU) / issuance of sanction letters	• Online drafting and approval of MoUs / sanction letters. • E-signature functionality for stakeholders. • Ability to upload signed hard copy.
vii. Project Activity/Intervention Tracking	• Project Baseline Assessment and reports and data generation. • Project tracking dashboard for real-time monitoring. • Geo-tagging functionality for project locations. • Automated alerts for project milestones and deadlines.
viii. Monitoring and Audit	• Online monitoring tools for ongoing project tracking (Activity, output, outcome and impact) Target vs Achievement. • Project timeline management. • Photos and videos to be captured and uploaded. • Scheduled and ad-hoc audit modules and audit reports upload project wise. • Automated risk assessment and mitigation tools.
ix. Project Closure	• Checklists for project completion and closure. • Automated closure reports generation. • Archiving of project documents and data. • Project impact Assessment, report and data.
x. Reporting (Monthly, Quarterly, half yearly, Yearly)	• Auto-generated reports based on predefined templates. • Dashboard for visual representation of key performance indicators. • Data visualization tools for easy analysis and real time data reports.
xi. Auto Analysis	• Automated analysis tools for project performance. • Capacity to auto-generate reports related to outcome and impact linking project, schemes, and overall NABARD. • Capacity to auto-generate report integration with Sustainability, ESG and SDG frameworks for evaluation and organization performance.
xiii. Multimedia Integration	• Photos and videos upload functionality during project implementation.

	• Geo-tagging of multimedia for location-specific analysis. Project wise and Assets wise geo-tagged.
xiv. MIS & Data Generation	• Automated Management Information System (MIS) generation, project wise, schemes wise compiled, overall NABARD MIS collated & compiled. • Customizable reports for different stakeholders. • Integration with external data sources for comprehensive analysis.
xv. Dashboards:	• Holistic financial and ESG dashboards for all development projects of NABARD (all projects, schemes & departments)
xvi. Feedback Mechanism	• Integration with feedback mechanisms from stakeholders (Beneficiaries, governments, NABARD departments, partners, communities etc).
xvii. User Training and Support	• Training modules for users on the IT solution. • Helpdesk for technical support and issue resolution.
xviii. Securing and Compliance	• Implement robust security measures to protect sensitive data. (on premise with NABARD server system) • Ensure compliance with data protection regulations.

The solution should:
1. Allow NABARD to perform independent analysis on the data received from the grant partners on its centralized server.
2. Be agile to support integration with existing applications and upcoming applications, along with future upgrades, through APIs/web services etc.
3. Envisage parameterization, historical data management, verifying data quality, migrating data, user acceptance testing, performance & stress testing, documentation, training, knowledge transfers and support.
4. Be able to collect the past data of projects reports, outcome and impact for at least the last 5 years.

NABARD encourages all Start-ups Vendors to bring additional innovative ideas and/or solutions that result in cost and operational efficiencies or improvements while enhancing the services that NABARD provides for rural development and prosperity.

3. **Key Functionalities and Technical Requirements:**

Features & Functionality Requirements:
User Management: • Implement role-based access control to define user roles and permissions for different stakeholders such as administrators, grant applicants, reviewers, and approvers.   • Ability to create, edit, and delete user accounts with unique login credentials.   • Role-based access control (RBAC) system to define user roles and permissions.   • Flexibility to assign and manage user roles and permissions at the granular level.   • Support for multiple user roles, including administrators, managers, and regular users.
Grant Application Module: • Enable applicants to submit grant applications online, upload necessary documents, and track the status of their applications.   • Application form to be uniform across all departments with 20% of customization based on scheme wise requirements.
Review and Evaluation: • Allow designated reviewers to evaluate grant applications, provide feedback, and recommend approval or rejection/re-evaluation process.   • Generating of Memorandum of Sanction for the committee along with upload provisions.
Approval Workflow: Facilitate the workflow for grant approval by routing applications to the appropriate authorities based on predefined criteria.
Disbursement Management: Automate the process of fund disbursement upon approval, including generation of payment vouchers and tracking disbursement & utilization status.
Monitoring and Reporting: Provide dashboards and reports for real-time monitoring of grant utilization, project progress, financial performance, and impact assessment.
Support accurate reporting: Provide reliable data and reporting in real time for different audiences about applications, grants and projects, and feedback.
Compliance and Audit Trail: • **Ensure compliance with NABARD guidelines, ESG Compliance Framework and SSE Reporting framework** and maintain an audit trail of all activities performed within the portal for transparency and accountability.   • The portal should build a centralized repository for storing and managing compliance documents.   • Capability to upload, verify, categorize, and retrieve documents based on predefined criteria.   • Capability to upload audit observations and closure information for all projects, whether audited internally or externally.
Communication and Notifications: Enable automated notifications and alerts to keep stakeholders informed about the status of grant applications, approvals, and other relevant updates.
Geo Tagging/Fencing:

- Portal to provide and visualize the projects through Geo-Spatial platform. Geo-tagging and fencing should offer precise location tracking, ensure accountability and transparency in project implementation and empower stakeholders with spatial insights.
- The Geo-platform should allow users to download specific satellite data related to state-wise, district-wise projects, with accurate reference to data and asset visibility.

Support outcome & impact reporting:
- Project wise & scheme wise Outcome and impact to be captured FOR State, district and block levels.
- Should enable staff to quickly produce qualitative and quantitative reports and information about the grants and projects by scheme/department/NABARD as required.

Flexibility and Scalability: Design the portal to accommodate future growth and scalability requirements; Should give NABARD confidence that the solution can support new schemes (as yet unknown) initiatives.

Ease of use
- The UI/UX elements should ensure comfort and demand minimal support and guidance.

Stakeholder Management:
- Feature to add, edit, and manage donors, partners, and other stakeholders.
- Ability to store and update stakeholder information, including contact details and engagement history.
- Option to categorize stakeholders based on their roles, relationships, and interests.

Technical Requirements:

Development Platform:
- **Web-based/Mobile application** using modern frameworks and technologies.
- **Develop Web application** for grant submission and reporting systems for partners and Internal departments.
- **Develop a mobile application** for capturing data like beneficiary information, photos, videos etc.
- **Also develop the mobile application with customization** for the presentation of a broad status of all grant based projects of NABARD for top management of the organization.

Concurrent Users: Concurrent users to be more than 4000 and scalable in the future. Preferably unlimited users.

Auto Generated Mails/Messages: The system should generate auto mails and messages of the project status of application, project implementation status, outcome achievement to partners and DDM, RO, HO.

Database: Secure and scalable database management system for data storage and retrieval.
Data Backup and Recovery: Regular backups of data and procedures for disaster recovery to prevent data loss in case of system failures or security breaches.
Security: Implement robust security measures including encryption, access controls, and regular security audits.
Compatibility: Ensure compatibility with major web browsers and mobile devices for seamless accessibility.
Integration: Enable integration with internal systems of NABARD and APIs as required for data exchange and interoperability.
Updated versions and Configurations: Ensure to use latest version of all software and hardware components which are used in the Grant Management application tools.
Right of Repository Admin: Repository Admin right should be available with NABARD.
Server: Grant management portal to be hosted at NABARD server.
Performance: Fast response times and efficient performance, even under heavy load, to provide a smooth user experience.

4. Statement of Work:

i.   The start-up/vendor shall study and understand the existing schemes of different departments and the current practices of application process, project reporting patterns, organization reports and incorporate end to end solution of grant management system in uniformity across all departments.

ii. The start-up/vendor shall propose a single grant management solution for NABARD covering FSDD, IDD, SPD, MICD, DFIBT, OFDD, DEAR and D-CAS and undertake full responsibility for providing an end-to-end solution of grant management system for these departments.

iii. The start-up/vendor should provide technical tools to capture the past data of schemes focusing on output, outcome and impact. This past data might be routed through RO and DDM; if required, partner agencies who are benefiting from NABARD currently may also have to upload.

iv. The start-up/ vendor shall work closely with Department for Data Management, Analysis and Business Intelligence (DDMABI), for coordinating with the

departments with respective designated and authorized single point of contact (SPOC).

v. The proposed solution by the vendor should be common for all departments; however, if there are any changes or customization based on scheme requirements, **the same will have to be developed at no extra cost**. Therefore, the start-up/vendor should be prepared to provide enhancements/modifications keeping in view the fresh/future requirements of NABARD or Regulatory/Statutory Authorities.

vi. The proof of concept will be carried out for one scheme with the given solution and visualization of the expected outputs of Dashboards. The same will be presented to the Top Management with the functionality and operations of the grant management system in the portal. Upon approval, the vendor shall start on-boarding each scheme one by one or department wise to meet the requirements within timeline.

vii. The Vendor should also develop the manual of Grant Portal of Web application which will be used for training as well for references. Project Documents, Application architecture diagrams, User Manuals, Technical manuals/ short videos are to be provided for all the functionalities/modules/tools proposed for the solution separately. All these have to be updated whenever there are changes.

viii. Grant management portal shall be developed on NABARD server and the working premise will also be in NABARD premises.

ix. Dashboards:

- The dashboard should have an integrated view that fetches and communicates required data in a clear manner. The dashboard should provide high level visualisation in pre-built formats in a dynamic manner.

- Pictorial representation in the form of new generation graphs/charts and other visualisations available in the market may be used to view the data.

- The selected Vendor will implement BI or any other tools in coordination and as per the requirement of NABARD.

- Availability of a chat bot on the dashboard to combine the functionality of Escalation management, Help section and the Contact Us feature.

x. The vendor should provide application maintenance for error fixes, additions / modifications to the software to cater to changes to data sources and/or new reporting requirements during warranty and AMC period of the contract.

xi. The vendor should provide maintenance (AMC) for the entire installation (software and database given as part of the solution) for a period of three years post warranty period.

xii. The Vendor should be able to provide uninterrupted services at various offices of NABARD. The support team of the vendor should ensure remote/online support to users of any ROs/DDMs/HO.

xiii. The vendor shall provide Partner Online Customer Support for two years.

5. Proposed Timeline and deliverables for Grant Management Portal:

Sr. No	Implementation Activity for 2 years for grant Management portal development	Timeline
1	After Vendor selected, workshop for 3 days to understand the NABARD requirements with different department Heads along with the selected start-up/vendor	3-5 days
2	Proposing the overall architecture of grant management portal for NABARD and preparing Business Requirements Documents for each scheme.	2 months
3	Vendor to design the common portal for POC.	3 Months
4	POC at RO level - 1 Scheme – 1 project-1 RO	10 days
5	POC at MULTIPLE Deptts. level - Multiple projects	1 Month
6	Rolling and firming the platform	6 Months

Other Additional Mandatory Technical Features: Grant Management Portal

A	USER MANAGEMNT
1	USER Management: The application should enable creation/ deactivation of different user types:
2	• Maker (Partners)- Rights with proposal submission, Project Progress Reports submission.
3	• Partners cannot edit after submission. Only view the submitted application, or reports.
4	• Checker (DDM & RO)– Accept/Reject/Re-evaluate submitted data with option for comments.
5	• Admin (HO) – Assign Maker/ Checker role to users
6	• Advanced User (HO) – Accept/ Reject any proposal/reports of particular department/ all departments.
7	• Normal User (NABARD employees, Top Management, Stakeholder or any other)– View rights for specific reports
8	• Super User (HO)– Rights for creation/ deactivation of users and resetting credentials of all other users
9	• Only the users created by Super User shall be assigned maker/ Checker/ Admin/ Advanced User/Normal user roles
10	Automated password management for online password generation through Email and SMS.
11	Super user should be able to generate user metrics.
12	Project Documents, Application architecture diagrams, User Manuals and Technical manuals are to be provided for all the functionalities/ modules/tools proposed for the solution separately
13	On submission of application, report by User partners, DDMs, ROs (Maker), the system should apply pre-defined validations on submitted data and prompt maker with appropriate messages pointing to errors if any. The reports, application shall move to next level/Checker, only after satisfying all the validations.
B	**Submission of Concept, Proposal, Reports**
1	The portal should generate data, time, submitted, reviewed by information
2	The application should have ability to save data, proposals, reports for later submission. (This is required to avoid loss of already filled data).
3	The formats should be downloadable to work offline for partner agencies. It becomes easy for the partners to copy and paste in the application and reporting formats.
4	The system should have an end to end single dashboard for input and output forms for partners. It should be trackable scheme wise.
5	The system should have one login provision per partner and then they can apply for any schemes.
6	The system should auto-generate the old user name if the partner agencies have forgotten the user name and password based on basic information.

7	The system should have single output form for each DDM district wise to visualize the projects. By single click, they should be able to monitor each project's status.
8	The system should have single output form for each RO to visualize the projects, scheme wise. By single click, they should be able to monitor each scheme and project status and also, extract the information/data of each project.
9	The system should have single output form for each scheme for HO to visualize the projects, scheme wise. By single click, they should be able to monitor each scheme and project status. Also, extract the information/data of each project.
10	The system should give upload/download provisions of documents in pdf, word, excel.
11	The system should give option to view the project/ area wise applications, documents, outcome /impact reports.
12	The application should be capable of sending automated and on demand SMS/Email alerts to maker/checker on Data submission/ pending by Internal/ External users
C	**AMC Support systems**
1	The AMC support shall include develop/modify application process or reporting formats of input or output to make it more convenient for partners, employees of NABARD.
2	Enable users/support to fetch data from multiple tables.
3	Enable users/support to add formulas for calculations on outcome and impact parameters.
4	Modification of existing systems by users/AMC support: addition/deletion / change of parameters, validations, data fetch from tables, formulas to be enabled.
5	Facility for prescribing different reports due dates for the same applications, reports for different months/quarters/bi-yearly/yearly.
D	**REPORT generation methodology**
1	Reports to be generated/ updated as per desired formats of departments or ad hoc reports based on submitted data.
2	Ability to generate aggregate reports at sector/regional/country level etc as per requirement of departments.
3	Application has to provide parameterized screen where Bank should be able to change frequency of any report
4	Drill Down facility for all reports should be made available
5	Output of reports may be exported into various format like text files (various delimited), word file, Excel, PDF etc.
6	The system should have facility to upload photos, videos and publications or articles, case stories or any documents. Hence, the system should give facility of upload and download in jpeg, png, pdf or any other formats which are commonly used.

7	Report should be able to be generated for any input period / for any partner /for any pre-defined geographical areas like state, region etc. with flexibility in selecting parameters for generation of reports for purpose of data blending
8	Report generation must be facilitated with drag/drop facility to generate customized reports based on captured parameters from each projects, scheme wise compiled and overall NABARD.
9	The system should auto-generate both physical, and financial reports scheme wise, department wise monthly, quarterly, yearly compiled from different projects.
10	The system should be able to auto-generate project wise, scheme wise, department wise, and overall NABARD ESG, NABARD SDG MIS, NABARD Sustainability MIS, and NABARD performance MIS reports. It should be available on a real-time and also yearly basis.
14	The reports should be able to capture the scheme wise photos and other necessary case stories to generate the project wise, scheme wise reports.
15	The report should be able to generate reports showing NABARD assets created in different projects along with geo-tagged details. For example, capital assets created, green assets created, economic assets created.
E	**Security Aspects**
1	The Solution should have web interface with all User Friendly and Security Features viz. Audit Trail, Access Control, Password Control, and Report Extraction Control etc. in line with such Policies of NABARD, which shall be defined and discussed with finally selected Vendor.
2	The audit trail module to enable user to track, monitor and analyse the submission status of different applications, reports submitted by partners, DDM, RO and HO.
3	Audit trail to view all the errors occurred while using any feature.
4	Audit trail for each and every menu accessed and by which access is available to Super user.
5	Audit trail for each and every transaction/login details along with login name, date, time and Ip address is available to Super user.
6	Vendor has to meet the requirement of VAPT before going Live, as well as source review or provide a valid third-party audit certificate acceptable to NABARD to this effect.
7	The vendor is expected to maintain coding standards as per best industry standards and bank reserves the right to audit the source code for industry standards.
F	**DASHBOARD & VISUALIZATION**
1	The unified dashboard should have an integrated view that fetches and communicates required data for NABARD in a clear manner. Expected to have innovative dashboard which is not commonly practiced or used.
2	The dashboard should provide a single solution that provides high level visualisation of desired data in pre-built formats in a dynamic manner while

		at the same time, also offering the various functionalities that user has been granted access to.
	3	Dashboard should have standard features and options to generate reports/outputs in various formats such as TEXT, MS-WORD, MS- EXCEL, XML, XBRL, JSON, PDF, PPT or any other formats as per requirement of NABARD.
	4	The Solution should provide facility for ad-hoc/self-service report generation to facilitate report design, generation, distribution and archiving.
	5	The solution should enable users to generate their own reports through queries on variety of parameters.
	6	Capability to store the already generated queries for future use should be available.
	7	Pictorial representation in the form of different graphs/charts and other visualisations available latest in the market may be provided to view the data project wise, scheme wise, partner wise. Again, it should be able to track block wise, district wise,
	8	The selected Vendor will implement BI or any other and will enable various reports/Dashboard as per requirement of NABARD in coordination with Department Heads/team.
	9	Availability of a chat bot on the dashboard to combine the functionality of Escalation management, Help section and the Contact Us feature.
	10	Systems must be user-friendly and Dashboards should be creative and innovative beyond current systems. Particularly, NABARD management dashboard of web-based and mobile based should have most innovative dashboards.
G		**ADF capability**
	1	The portal should have Capability to pull/push information
	2	Must be able to integrate with multiple applications / systems within the Bank.
	3	Must have capability for various APIs as and when needed
	4	The solution should be capable of auto updates, if approved
	5	Should support Domain Authentication and should support existing AD platform in NABARD.
	6	System should support Multiple platform viz. Windows, Linux, AIX OS.
	7	Should be capable to integrate seamlessly with other applications running in NABARD like CLMAS, TALMS, OSS, HRMS, Data Collector etc.
	8	Shall support Multi time zone support.
	9	Should be scalable to support 100 million documents in future.
	10	System should be designed for 24*7 availability and must be up and running under any circumstances.
	11	System should have features of fault tolerance.
	12	System should support all types of electronic files, including MS Office, Tiff, JPEG, BMP, PDF ...etc without having the respective application installed.
	13	System shall support integration between servers distributed geographically (i.e. in separate locations) and logically (i.e. separate repositories)
	14	The system shall be able to handle Tera-bytes of data with search time less than 5 seconds.
	15	The system should be able to handle 4000 concurrent users.

16	The system shall support highly scalable storage capacity.
17	The system shall be Unicode compliant for supporting different languages (at least Hindi and English)
18	System shall provide GUI tools for development and deployment of visualisations on dashboard.
19	System should support HTTP, LDAP and SSL standards in addition to XML.
20	Must provide an extensive and comprehensive API suite for data capturing and sharing.
21	System should allow web interface to be easily configurable and customisable.
22	The support shall provide a mechanism to deploy seamlessly all changes for migration from development to production environments.
23	System should provide mechanism of load balancing between servers
24	System should provide benchmark reports to indicate scalability of solution.
25	The web interface must support Internet Explorer, Safari, Chrome, and Firefox, Microsoft Edge.
26	System should have the capability to be accessed through mobile.
27	Users must be able to view reports without any browser plug-ins requirements.
28	The web interface shall be customizable for end users enabling them to use from a range of visualizations to define.
29	The system should integrate with MS Outlook or other email clients.
30	The system must support a configurable session timeout which forces a user to log back in after a period of time to ensure security. This shall be accomplished without losing user's work.
31	The system should be easy to handle from administrator as well as user point of view.
32	System should be robust.
33	System should have the capability of giving warnings or messages etc., to guide the user.
34	System should have the capability of giving warnings or messages etc., to guide the administrator.
35	The system shall support secure login id and passwords for each user and passwords shall be stored in encrypted format in database
36	The system shall have a facility to define password policy with extensive password validations like passwords must be of minimum 8 characters, shall be alphanumeric, locking of user-id after three un-successful attempts, password expiry, password history so that passwords are not same as previous passwords etc.
37	System should have a full audit trail on all processes.
38	The system shall allow the definition of sub system administrators such that only certain administrative functions are assigned to different users or groups of users.
39	Admin should be able to assign rights to different class of users.
40	It should be possible to assign the access levels to individual users and groups of users.
41	The system must also provide support for role-based security.

42	The system must support the ability to authenticate users using standard credentials and/or advanced means of authentication leveraging industry leading authentication and single/dual factor signing frameworks.
43	The audit trail must contain information such as the event performed, the user who performed the action, and the date/time stamp.
44	The audit system shall support the capability to generate queries and reports.
45	The system must support multiple authentication sources at the same time, such as connecting to multiple LDAP/Security Providers where different groups are defined (internal, external…etc).
46	Must also provide support for role-based security.
47	System must be capable to capture and securely store electronic signatures associate with any content or process step.
48	The workflow management system shall provide graphical and tabular tools to view progress of each individual process.
49	User should be able to configure dashboard without any coding.
50	There should not be any limit on the number of reports/returns that can be created.
51	User shall be able to drill down in a report for specific information analysis.
52	The workflow management system shall support users drill down from a higher level view of business processes to lower level details.
53	The workflow management system shall support statistical reports like Total turnaround time and delay report for complete process or specific work stages.
53	The interface shall give a flexibility to toggle between graphical and tabular view and tile different windows in the same interface.
54	Automates data archival according to storage policies based on business value and information.
55	Migration logs and audit trails should be provided so that content is traceable.
55	Content Storage policy should be possible to execute as a result of system events, as a part of batch processing, or on demand.
56	Administrator should be able to control storage allocation of content based in any default or custom attribute.
57	System should be able to disable the copy/paste and screen capture capabilities.
58	System should water mark pages if printing privileges are granted with NABARD logo and username or id.
59	It should secure information created in native application file formats such as PDF, Microsoft Word, Excel, PowerPoint and Microsoft outlook etc.
60	The system shall provide facility to generate Audit trails on separate actions, and between specific date/times.
61	The system shall give flexibility to administrator to do selective logging i.e. suspend and resume audit trail generation for specific system and user activities.
62	The application shall log all the actions done by individual users with username, date and time and the administrator shall be able to generate detailed audit logs and history of the process instance.

63	The System shall support integration based on standards such as XML.
64	The System shall support message-based collaboration based on protocols such as HTTP, FTP and SMTP.
65	The System shall support integration with Email Servers /SMS API.
66	The System shall support Web services.
67	The System shall enable the work items to access the Web methods of a remotely deployed web service.
68	System should Lock user after several incorrect password attempts.
69	System should support for use of O/S security schema.
70	It should support for writing add-ins for integration with other systems and facility to insert a menu for add-on.
71	System should be highly scalable from a few users to thousands of users across a distributed network.
72	System should be able to reduce turnaround time (TAT) for each process.
73	System should ensure the availability of the returns/reports 24x7x365.

SECTION IV - MINIMUM ELIGIBILITY CRITERIA

This process is open to all Bidders who fulfil the eligibility criteria as set out below and are in agreement with terms & conditions of this EOI document. The Bidders should furnish documentary evidence supporting the information provided by them as part of the bidding process. EOIs not satisfying the eligibility criteria will be rejected.

SN	Criteria	Details	Supporting Documents to be submitted
1.	Company Profile ***	1. The Company should be registered as Tech Start up and should have received DPIIT recognition; It should be in the business of providing IT services and solutions. 2. The company should be fulfilling all legal compliance of registration of company, GST, and others. 3. Minimum 5 years' experience in implementing the Grant Management Systems with CSR/Government agencies/ banks. 4. Legal details about company 5. The Company should be either incorporated in Maharashtra or having its Head Office in Mumbai	(i) Certificate from the DPIIT as expressed in this EOI, declaring compliance with points (2) and (3) (ii) Details of the company as laid out in Annexure II (iii) Letter of undertaking to this effect on company's letterhead providing address of their registered office, signed by company's authorized signatory
2.	Platform deployment	**The bidder should have at least three Grant management projects (completed/ ongoing) in CSR/Government/ PSU Sector.**	Submission to be made in Annexure III (project details)
3	Turnover ***	1) The average annual financial turnover of the bidder should **not be less than ₹. 3.00 Crore during the last three financial years.** 2) **The bidder should be a profitable organization for at least two out of last three**	• Copy of the audited balance sheets along with profit and loss statement for corresponding years. • Certificate of the Charted Accountant may be provided for

		financial years' operating Grant Management Portal. Should have **positive net worth** for last 3 financial years	latest financial year, in case audited balance sheet isn't available.
4	Fit and Proper	The bidder should not be a blacklisted or debarred firm/company/ CSR agency/ in any Govt. department /Banks/ PSU/ other institution in India due to unsatisfactory performance, breach of general or specific instructions, corrupt or fraudulent or any other unethical business practices.	• Bidder should submit a declaration to the effect as per the format provided in Annexure-IV on its letter head. company's letter head signed by company's authorized signatory
5	Manpower	The bidder should be able to provide manpower, such as UI/UX designer, Enterprise Architect, database manager, business analyst, at least one dedicated project manager and IT developers who are experienced on the proposed platform, as part of the development team which will be setup. The Company should have on their payroll staff strength of **minimum 30 IT Professionals having** Core Expertise in execution and development of IT projects, including but not limited to, Software Development, Mobile Application Development, Dashboard Design and Development (with Implementations, Roll-out, support and Maintenance phases)	• Letter of undertaking certifying availability of experienced developers, signed by company's authorized signatory
6	Partnership/ Consortium	No Partnership/Consortium bidding is allowed	Self-declaration / undertaking to this effect on company's letter head signed by company's authorized signatory.

| 7 | Additional Major Requirements | • The company should have experience on the analysis outcome and impact, and integration with ESG and SDG compliances appropriate for the development sector.
• The company should develop and implement the GMP in the premises of NABARD and on NABARD server.
• The selecting company should build the portal based on NABARD requirements and not any subscription modules. | Provide links of past projects developed for clients. **Minimum 3 clients with two clients having core linkages with state/ central government projects.** You may seek for the personal approval from the clients as well. |

*** The criteria related to turnover and prior experience may be relaxed for suitable start-ups.

SECTION -V MINIMUM ELIGIBILITY CRITERIA FOR PORTAL

The platform should be compliant with the following checklist as part of the minimum eligibility criteria for the platform. Bidder must provide active reference link/ **Supporting Document (Report /manual/document may be submitted on company's letterhead and signed by authorized signatory in absence of reference link)** against each criterion. Supporting documents must be made available on the OEM website. EOIs not satisfying the below checklist will be disqualified.

S NO	Criteria	Details	Yes/ No	Remarks
1	IT Portal	The IT Portal supports multi domain requirement and has been deployed in various domains including BFSI, Government, and Public Sector Units.		
2	IT Portal	The IT Portal is designed to efficiently integrate with external APIs while also securely offering its own API services		
3	Development	The IT Portal should offer a modern, intuitive full-stack visual development environment which is user-friendly for both developers and business users.		
4	Development	It should support a cross-platform, unified codebase for developing both responsive websites and mobile apps for both Android		
5	Development	The IT Portal should have integration of Artificial Intelligence (AI) for software development lifecycle (design/development/testing/deploy)		
6	Development	The IT Portal should support collaboration features for multiple developers working on the same or different applications and include workflow management capabilities		
7	Output	The IT Portal should be equipped to deliver a modern and intuitive UI/UX across all touchpoints, including websites and mobile apps, ensuring a seamless user experience.		

8	Report and Dashboard	The IT Portal should offer built-in reporting and dashboard features. Web designed dashboards with innovative and modernized tools to be used.		
9	Integration and Extensibility Capabilities	The IT Portal should have the ability to integrate with existing/legacy systems and databases facilitating modernisation initiatives. It should support standard integration protocols, API and data platforms to facilitate organization-wide data collection, sharing, and development		
10	Scalability	The IT Portal should be capable of handling growing application complexity, and scalability options to meet diverse needs. It should support at least 4000 concurrent users, preferably unlimited.		
11	Performance Monitoring	It should include application performance monitoring tool to monitor performance and health		
12	Customization and Extensibility	The IT Portal should offer flexibility to customize applications based on business needs and handle various kind of workflows. It should also offer extensibility through custom code or scripting.		
13	Security	The IT Portal should offer robust authentication and authorization mechanisms, data encryption mechanism wherever applicable		
14	Security	Integration with Standard SSO using OAuth / SAML		
15	Security	IT Portal provides built-in protection against the common security threats and API Security risks identified by OWASP		
16	Security	The IT Portal should provide in built RBAC		
17	Deployment	The IT Portal should support standard DevOps based deployment		

18	Deployment	The IT Portal should support on-premises deployment as well as cloud deployment capabilities		
19	Deployment	It should also enable separate deployment environments for internal and external sites/mobile apps.		
20	Online Learning & Certification	The IT Portal should provide a built in learning management system with completion certificate facility along with tests if required		
21	Versioning	Source code should be maintained using any of git-based source code repository service		
22	Product Roadmap	The platform should provide access to product release with release notes details		
23	Product Roadmap	Product should have long term vision and roadmap for long term support		

SECTION VI – EOI SUBMISSION PROCESS

1. **Raising of queries/clarifications on Request for EOI document:** The Bidders requiring any clarification on this document should submit their written queries to email id: ddmabi@nabard.org with cc to asha.chandra@nabard.org. Any suggestions / feedback may also be sent to the above email id. The following numbers may be reached out to in case of any queries.
   1. Ms. Asha Chandra, DGM, DDMABI (022-2653-9787)

2. **Modification in Request for EOI document:** At any time prior to the deadline for submission of EOIs, NABARD may modify any part of this document. Such change(s) ,if any, may be in the form of an addendum/corrigendum and will be uploaded in NABARD's website - https://www.nabard.org . All such change(s) will automatically become part of this Request for EOI and will be binding on all Bidders. Interested Bidders are advised to regularly refer the NABARD's URL referred above for any updates.

3. Request for extension of date for submission of EOIs will not be entertained. However, to give prospective Bidders reasonable time to take the amendment into account in preparing their EOIs, NABARD may, at its discretion, extend the last date for the receipt of EOIs. No EOI may be modified subsequent to the last date for receipt of EOIs. No EOI may be withdrawn in the interval between the last date for receipt of EOIs and the expiry of the EOI validity period specified by the Bidder in the EOI.

4. Bidders are advised to study the EOI Document carefully. Submission of the EOI will be deemed to have been done after careful study and examination of all instructions, eligibility norms, terms, and requirement specifications in the EOI document with full understanding of its implications. EOIs not complying with all the given clauses in this EOI document are liable to be rejected. Failure to furnish all information required in the EOI Document or submission of an EOI not substantially responsive to the EOI document in all respects will be at the bidder's risk and may result in the rejection of the EOI.

5. EOI as per details of Section III, IV & V along with Annexures namely Annexure I, Annexure II, Annexure III, Annexure IV, Annexure V and Annexure VI should be submitted along with proof of documents (wherever applicable)

6. **Submission of EOIs: Detailed EOI has to be submitted at CPP portal (https://eprocure.gov.in) on or before 24/04/2024 at 17:00 Hrs.**

7. NABARD may ask Bidders for clarifications or additional documents/ credentials at its discretion.

8. <u>Opening of EOI</u> – NABARD will convene the EOI opening session on duly notified date 24/04/2024 at 15:30 Hrs.

SECTION VII – TERMS & CONDITIONS

1. Submission of an EOI is evidence of a Bidder's consent to comply with the terms and condition of the EOI process and subsequent bidding process. If a Bidder fails to comply with any of the terms, its bid may be summarily rejected.

2. Wilful misrepresentation of any fact in the EOI will lead to the disqualification of the Bidder without prejudice to other actions that NABARD may take. The EOI and the accompanying documents will become property of NABARD. The Bidders shall be deemed to license, and grant all rights to NABARD, to reproduce the whole or any portion of their product/solution for the purpose of evaluation, to disclose the contents of submission to other Bidders and to disclose and/ or use the contents of submission as the basis for EOI process.

3. NABARD reserves the right to accept or reject any or all EOIs received without assigning any reason therefore whatsoever and NABARD*s* decision in this regard will be final.

4. NABARD reserves the right to inspect the facilities of the bidder any time during the evaluation stage to verify the genuineness and to ensure the conformity with the proposal submitted.

5. The bidder is required to submit its full profile giving details about organization, experience, technical personnel in the organization, competence, and adequate evidence of its financial standing etc. in the enclosed form which will be kept confidential.

6. No contractual obligation whatsoever shall arise from the EOI process.

7. Any effort on the part of Bidder to influence evaluation process may result in rejection of the EOI.

8. NABARD is not responsible for non-receipt of EOIs within the specified date and time due to any reason including holidays in between.

9. NABARD reserves the right to verify the validity of information provided in the EOIs and to reject any bid where the contents appear to be incorrect, inaccurate or inappropriate at any time during the process of EOI.

10. Bidders shall be deemed to have:

a. Examined the Request for EOI document and its subsequent changes, if any for the purpose of responding to it.
b. Examined all circumstances and contingencies, having an effect on their EOI application and which is obtainable by the making of reasonable enquiries.
c. Satisfied themselves as to the correctness and sufficiency of their EOI applications and if any discrepancy, error or omission is noticed in the EOI, the Bidder shall notify NABARD in writing on or before the end date/time.

11. **Public Procurement Policy on Micro and Small Enterprises (MSEs):**
    a. NABARD is governed by provisions of the Public Procurement Policy for Micro and Small Enterprises (MSEs) as circulated by the Ministry of MSME, GoI.
    b. These provisions shall be applicable to Micro and Small Enterprises (MSEs) registered with District Industries Centers or Khadi and Village Industries Commission or Khadi and Village Industries Board or Coir Board or National Small Industries Corporation or Directorate of Handicrafts and Handloom or any other body specified by Ministry of Micro, Small and Medium Enterprises (MSMEs).
    c. Agencies/ Bidders desirous of availing exemptions/ preference under above provisions should submit a copy of proof of Registration as MSEs/ and ownership of the same by SC/ST along with the Expression of Interests (EOIs).

12. The bidder shall bear all costs associated with submission of EOI. NABARD will not be responsible or liable for any cost thereof, regardless of the conduct or outcome of the process.

13. Bidders must advise NABARD immediately in writing of any material change to the information contained in the EOI application, including any substantial change in their ownership or their financial or technical capacity. Copies of relevant documents must be submitted with their advice.

14. Shortlisted Bidders must not advertise/publicize in any form (without prior written permission from NABARD) about their unit having been shortlisted by NABARD.

15. NABARD may re-visit any of the conditions of this EOI, before the deadline for submission.

16. NABARD shall have the right to cancel the ~~tendering~~ process at any time, without thereby incurring any liabilities to the affected Bidders. Reasons for cancellation, as determined by NABARD in its sole discretion include but are not limited to, the following:
    a. Services contemplated are no longer required.
    b. Scope of work not adequately or clearly defined due to unforeseen circumstance and/or factors and/or new developments.
    c. The project is not in the best interest of NABARD
    d. Any other reason

17. The bidder shall submit Pre-Contract Integrity Pact along with EOI submission (Annexure VI) duly signed by the bidder on each page and witnessed by two persons. The pact shall be stamped as applicable in the State where it is executed. Bids submitted without PRE-CONTRACT INTEGRITY PACT, as per the format provided, shall not be considered for evaluation. The integrity pact to be signed and submitted on Stamp paper of Rs. 200/- or as applicable in the State where it is executed.

ANNEXURE I - EOI SUBMISSION FORM

(To be submitted on the letter head of the Agency(s)

Date: _____
The Chief General Manager
Department of Data Management, Analytics, & Business Intelligence,
National Bank for Agriculture and Rural Development
3rd Floor, B Wing, C-24, 'G' Block,
Bandra-Kurla Complex, P.B. No. 8121, Bandra (East),
Mumbai - 400 051.
Maharashtra

Dear Sir,

Subject: Submission of the Expression of Interest (EOI) for *developing and implementing unified digitalized system of grant management portal for all grant-based projects of NABARD*

We, the undersigned, offer to provide services for *"developing and implementing unified digitalized system of grant management portal for all grant-based projects of NABARD"* in accordance with your Expression of Interest (EOI) dated _____. We are hereby submitting our Expression of Interest (EOI).

We hereby declare that all the information and statements made in this Expression of Interest (EOI) are true and accept that any misinterpretation contained in it may lead to our disqualification.

We agree to abide by all the terms and conditions of the EOI document. We understand you are not bound to accept any proposal you receive.

Yours sincerely,

Authorized Signature [In full and initials]: _____

Name and Title of Signatory: _____

Name of Bidder: _____

Address: _____

Location: _____ Date: _____

ANNEXURE II – BIDDER'S INFORMATION DETAILS

S. No	Items	Bidder's Response
1.	**Basic Information**	
	a) Name of the organization	
	b) Name of the contact person	
	c) Registered office Address	
	d) Phone no. of the contact person	
	e) Email address of the contact person	
	f) Website if any, of the organization	
	g) Year of commencement of business	
	h) PAN no.	
	i) Service tax registration No. / GST No.	
	j) Name of the proposed Grant Management Portal for this EOI	
2	Location of competency/development centre and number of professionals	
3	*Net profits (In Rupee Crore) in any one of the 3 financial years:*	
	For 2022-2023	
	For 2021-2022	
	For 2020-2021	
4	*Annual turnover (in Rupee Crore) of the 3 financial years*	
	For 2022-2023	
	For 2021-2022	
	For 2020-2021	

Authorized Signatory:

Name of the Authorized Signatory: Date:

Place: Seal:

ANNEXURE III – PROJECT DETAILS

(To be submitted separately for each project)

Details of project undertaken by the bidder in CSR/NPOs/BFSI/Govern/Government/ PSU /Private Sector (Attach Copy of Purchase orders/ any documentary evidence) (One sheet for each Project should be submitted)

Project no.: ....

SN	Items	Mandatory (Y/N)	Bidder's Response
1.	Name of Grant Management Portal implemented	Y	
2	Client name	Y	
3	Sector (CSR/NPOs/BFSI/Govt/PSU/Private Sector)	Y	
4	Location of client along with contact person, contact no and email id	Y	
5	a. Date of Purchase Order received for the project b. Date of Commencement of Contract: c. Status of the Project (Ongoing/Completed.): d. Date of Completion of the project (if applicable):	Y	
6	Brief Details of the project	Y	
7	Contract Amount (in Rupees Lakhs)	Y	
8	Any other relevant information including reason for delay if any	N	

Note: The bidder should give the above information in this format only.

Authorized Signatory:

Name of the Authorized Signatory: Date:

Place: Seal:

ANNEXURE IV- NON-BLACKLISTING / NON –DEBARMENT DECLARATION

(On the Organization's letterhead)

Part A. In the case of a Proprietary Concern:

I hereby declare that neither I in my personal name or in the name of my Proprietary Concern M/s. _____which is submitting the accompanying Bid/Tender nor any other concern in which I am proprietor nor any partnership firm in which I am involved as a Managing Partner have been placed on blacklist/debarred since 01.04.2019 declared by any Bank, Financial Institution, Govt.'s Vendor Blacklist or debarred except as indicated below:

(Here give particulars of blacklisting/debarment and in absence thereof state "NIL")

Part B. In the case of a Partnership Firm:

We hereby declare that neither we, M/s. , submitting the accompanying Bid/Tender nor any partner involved in the management of the said firm either in his individual capacity or as proprietor or managing partner of any firm or concern have or has been placed on blacklist/debarred since 01.04.2019 declared by any Bank, Financial Institution, Govt's Vendor Blacklist or debarred, except as indicated below

(Here give particulars of blacklisting/debarment and in the absence thereof state "NIL")

Part C. In the case of Company:

We hereby declare that we have not been placed on any blacklist/debarred since 01.04.2019 declared by any Bank, Financial Institution, Govt's Vendor Blacklist or debarred, except as indicated below:

(Here give particulars of blacklisting/debarment and in the absence thereof state "NIL")

* We hereby declare that we have not withdrawn any bid after being selected as L1

It is also understood that if this declaration is found to be false in any particular, NABARD shall have the right to reject my/our bid, and if the bid has resulted in a contract, the contract is liable to be terminated.

Place: Signature of Bidder: _____

Date: Name of Signatory: _____

ANNEXURE V- CHECKLIST

	Check list of enclosure:	
1	All supporting documents required in Annexure -I Minimum Eligibility Criteria of SI	YES/NO
2	Annexure-I attached	YES/NO
3	Annexure-II attached	YES/NO
4	Annexure-III attached	YES/NO
5	Annexure-IV attached	YES/NO
6	Annexure –V attached	YES/NO
7	Annexure –VI attached	YES/NO
8	Annexure –VII attached	YES/NO
9	Annexure –VIII attached [????? What is Annexure VII]	YES/NO
11	Signed copy of EOI document (All pages)	YES/NO
12	Any other (Please specify)	YES/NO

ANNEXURE VI - PRE-CONTRACT INTEGRITY PACT

(To be executed on Non-Judicial Stamp Paper of Rs.100/-)

Between

National Bank for Agriculture and Rural Development (NABARD) hereinafter referred to as **"The Buyer"**
And

…………………………………………………. hereinafter referred to as **"The Vendor"**

Preamble

The Buyer intends to award, under laid down organizational procedures, contract/s for ………………………………. The Buyer values full compliance with all relevant laws of the land, rules, regulation, and economic use of resources and of fairness /transparency in its relations with its Vendor(s) and/or Contractor(s).

In order to achieve these goals, the Buyer will appoint Independent External Monitors (IEMs) who will monitor the tender process and the execution of the contract for compliance with the principles mentioned above.

Section 1 – Commitments of the Buyer

(1) The Buyer commits itself to take all measures necessary to prevent corruption and to observe the following principles:
   a. No employee of the Buyer, personally or through family members, will in connection with the tender for, or the execution of a contract, demand, take a promise for or accept, for self or third person, any material or immaterial benefit which the person is not legally entitled to.
   b. The Buyer will, during the tender process treat all Vendor(s) with equity and reason.
      The Buyer will, in particular, before and during the tender process, provide to all Vendor(s) the same information and will not provide to any Vendor(s) confidential / additional information through which the Vendor(s) could obtain an advantage in relation to the tender process or the contract execution.
   c. The Buyer will exclude from the process all known prejudiced persons.
(2) If the Buyer obtains information on the conduct of any of its employees which is a criminal offence under the IPC/PC Act, or if there be a substantive suspicion in this regard, the Buyer will inform the Chief Vigilance Officer and in addition can initiate disciplinary actions.

Section 2 – Commitments of the Vendor(s)/Contractor(s)

(1) The Vendor(s) / Contractor(s) commit themselves to take all measures necessary to prevent corruption. The Vendor(s) / Contractor(s) commit themselves to observe

the following principles during participation in the tender process and during the contract execution:

a. The Vendor(s) / Contractor(s) will not, directly or through any other person or firm, offer, promise or give to any of the Buyer's employees involved in the tender process or the execution of the contract or to any third person any material or other benefit which he/she is not legally entitled to, in order to obtain in exchange any advantage of any kind whatsoever during the tender process or during the execution of the contract.

b. The Vendor(s)/Contractor(s) will not enter with other start-ups/vendors into any undisclosed agreement or understanding, whether formal or informal. This applies in particular to prices, specifications, certifications, subsidiary contracts, submission or non-submission of Bids or any other actions to restrict competitiveness or to introduce cartelization in the bidding process.

c. The Vendor(s)/Contractor(s) will not commit any offence under the relevant IPC/PC Act or any other applicable anti-corruption laws; further the Vendor(s) / Contractor(s) will not use improperly, for purposes of competition or personal gain, or pass on to others, any information or document provided by the Buyer as part of the business relationship, regarding plans, technical proposals and business details, including information contained or transmitted electronically.

d. The Vendor(s)/Contractor(s) of foreign origin shall disclose the name and address of the Agents/representatives in India, if any. Similarly, the Vendor(s)/Contractors(s) of Indian Nationality shall furnish the name and address of the foreign Buyers, if any.

e. The Vendor(s) /Contractor(s) will, when presenting their Bid, disclose any and all payments made, is committed to or intends to make to agents, brokers or any other intermediaries in connection with the award of the contract.

f. Vendor(s) /Contractor(s) who have signed the Pre- Contract Integrity Pact shall not approach the Courts while representing the matter to IEMs and shall wait for their decision in the matter.

(2) The Vendor(s) /Contractor(s) will not instigate third persons to commit offences outlined above or be an accessory to such offences.

Section 3 – Disqualification from tender process and exclusion from future contracts

If the Vendor(s) /Contractor(s), before award or during execution has committed a transgression through a violation of Section 2, above or in any other form which put their reliability or credibility in question, the Buyer is entitled to disqualify the Vendor(s) /Contractor(s) from the tender process.

Section 4 – Compensation for Damages

(1) If the Buyer has disqualified the Vendor(s) from the tender process prior to the award according to Section 3, the Buyer is entitled to demand and recover the damages equivalent to Earnest Money Deposit/Bid Security.

(2) If the Buyer has terminated the contract according to Section 3, or if the Buyer is entitled to terminate the contract according to Section 3, the Buyer shall be entitled

to demand and recover from the Contractor liquidated damages of the Contract value or the amount equivalent to Performance Bank Guarantee.

Section 5 – Previous transgression

(1) The Vendor declares that no previous transgressions occurred in the last three years with any other Company in any country conforming to the anti-corruption/ Transparency International (TI) approach or with any Public Sector Enterprise in India/ Undertaking in India or any Government Department in India.

(2) If the Vendor makes incorrect statement on this subject, he can be disqualified from the tender process and/or an action for his exclusion may taken and/or he shall be liable for compensation of such damages that are incidental to such transgression mentioned herein.

Section 6 – Equal treatment of all start-ups/vendors /Contractors/ Subcontractors

(1) In case of sub-contracting, the Contractor shall take the responsibility of the adoption of Pre- Contract Integrity Pact by the sub-contractor and shall submit the same to the Buyer before contract signing.

(2) The Buyer will enter into agreements with identical conditions as this one with all start-ups/vendors and Contractors

(3) The Buyer will disqualify from the tender process all start-ups/vendors who do not sign the Pact or violate its provisions.

Section 7 – Criminal charges against violating Vendor(s)/Contractor(s) / Subcontractor(s)

If the Buyer obtains knowledge of conduct of a Vendor, Contractor or Subcontractor, or of an employee or a representative or an associate of a Vendor, Contractor or Subcontractor which constitutes corruption, or if the Buyer has substantive suspicion in this regard, the Buyer will inform the same to the Chief Vigilance Officer.

Section 8 – Independent External Monitor

(1) The Buyer appoints competent and credible Independent External Monitor ("**Monitor**") for this Pre- Contract Integrity Pact after approval by the Central Vigilance Commission. The task of the Monitor is to review independently and objectively, whether and to what extent the parties comply with the obligations under this agreement.

 The Independent External Monitor appointed for NABARD is:

> Dr. Sanjay Kumar Panda, IAS (Retd)
> 515, Ward No.3
> Sideshwar Sahi
> Cuttack City, Cuttack district
> Odisha 753 008

(2) The Monitor is not subject to instructions by the representatives of the parties and performs his/her functions neutrally and independently. The Monitor would have the right to access all Contract documents, whenever required. It will be obligatory

for him / her to treat the information and documents of the start-ups/vendors /Contractors as confidential. He / she reports to the Chairman, NABARD.

(3) The Vendor(s)/Contractor(s) accepts that the Monitor has the right to access without restriction to all Project documentation of the Buyer including that provided by the Contractor. The Contractor will also grant the Monitor, upon his/her request and demonstration of a valid interest, unrestricted and unconditional access to their project documentation. The same is applicable to Sub-contractors.

(4) The Monitor is under contractual obligation to treat the information and documents of the Vendor(s) /Contractor(s) / Sub-contractor(s) with confidentiality. The Monitor has also signed declarations on 'Non-disclosure of Confidential Information and of 'Absence of Conflict of Interest'. In case of any conflict of interest arising at a later date, the IEM shall inform Chairman, NABARD and recuse himself/herself from that case.

(5) The Buyer will provide to the Monitor sufficient information about all meetings among the parties related to the Project, provided such meetings could have an impact on the contractual relations between the Buyer and the Vendor/Contractor/Sub-Contractor. The parties offer to the Monitor the option to participate in such meetings.

(6) As soon as the Monitor notices, or believes to notice, a violation of this agreement, he/she will so inform the Management of the Buyer and request the Management to discontinue or take corrective action, or to take other relevant action. The Monitor can in this regard submit non-binding recommendations. Beyond this, the Monitor has no right to demand from the parties that they act in a specific manner, refrain from action or tolerate action.

(7) The Monitor will submit a written report to the Chairman, NABARD within 8 to 10 weeks from the date of reference or intimation to him by the Buyer and, should the occasion arise, submit proposal for correcting problematic situations.

(8) If the Monitor has reported to the Chairman, NABARD, a substantiated suspicion of an offence under the relevant IPC/PC Act or any other statutes/laws, and the Chairman NABARD has not, within reasonable time, taken visible action to proceed against such offence or reported it to the Chief Vigilance Officer, the Monitor may also transmit this information directly to the Central Vigilance Commissioner.

(9) The word **'Monitor'** would include both singular and plural.

Section 9 – Pact Duration

This Pre- Contract Integrity Pact begins when both parties have legally signed it. It expires for the Contractor 12 months after the last payment under the contract and for all other third party/OEM Vendors after 6 months. Any violation of the same would entail disqualification of the start-ups/vendors and exclusion from future business dealings.

If any claim is made/lodged during this time, the same shall be binding and continue to be valid despite the lapse of this pact as specified above, unless it is discharge/determined by the Chairman of NABARD.

Section 10 – Other provisions

(1) This agreement is subject of Indian Laws, place of performance and jurisdiction is the Head Office of the Buyer, i.e. Mumbai.

(2) Changes and supplements as well as termination notices need to be made in writing. Side agreements have not been made.

(3) If the Contractor is a consortium, this agreement should be signed by all consortium members.

(4) Should one or several provisions of this agreement turn out to be invalid, the remainder of this agreement remains valid. In this case, the parties will strive to come to an agreement to their original intentions.

(5) Issues like Warranty/Guarantee etc. shall be outside the purview of IEMs.

(6) In the event of any contradiction between the Integrity Pact and its Annexure, if any, the Clause in the Integrity Pact will prevail.

BUYER   Vendor

Name of the Officer   Chief Executive Officer

Designation   Organisation

NABARD

Witness   Witness

1._____   1. _____

2._____   2._____